

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE	<b>RECEIVED</b> <b>DEC 8 9 2004</b>
07609.105002	09/469,586	December 22, 1999	
APPLICANT	GROUP		
Sterling Michael Pearson	2134		Technology Center 2100

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AN	AA	✓	Internet Security Systems, <i>RealSecure Frequently Asked Questions</i> , November 8, 1999, pp. 1-14, <a href="http://www.iss.net/prod/tpo/rs_faq.php3">http://www.iss.net/prod/tpo/rs_faq.php3</a> .
	AB	✓	Internet Security Systems, <i>Host Security Rating Detail</i> , November 9, 1998, pp. 1-11.
	AC	✓	<i>Firewall Features</i>
	AD	✓	Internet Security Systems, <i>Coordinated Attack Single Source</i> , December 2, 1998, p. 1.
	AE	✓	Internet Security Systems, <i>RealSecure Frequently Asked Questions</i> , October 29, 1999, pp. 1-14, <a href="http://www.iss.net/prod/tpo/rs_faq.php3">http://www.iss.net/prod/tpo/rs_faq.php3</a> .
	AF	✓	Internet Security Systems, <i>Information Security: A Changing Need</i> , October 27, 1999, pp. 1-9, <a href="http://www.iss.net/about/about.php3">http://www.iss.net/about/about.php3</a> .
	AG	✓	Internet Security Systems, <i>ISS Ships New Version of RealSecure, Provides Industry-First Solution for Comprehensive E-Business Server Protection</i> , October 29, 1999, pp. 1-3, News Release, <a href="http://www.iss.net/press_rel/pr3.php3">http://www.iss.net/press_rel/pr3.php3</a> .
	AH	✓	Internet Security Systems, <i>ISS SAFEsuite products, RealSecure Agent</i> , October 29, 1999, p. 1, <a href="http://www.iss.net/prod/rsagent.php3">http://www.iss.net/prod/rsagent.php3</a> .
	AI	✓	Internet Security Systems, <i>ISS SAFEsuite products, RealSecure Manager</i> , October 29, 1999, p. 1, <a href="http://www.iss.net/prod/rsmanager.php3">http://www.iss.net/prod/rsmanager.php3</a> .
	AJ	✓	Internet Security Systems, <i>ISS SAFEsuite products, RealSecure Engine</i> , October 27, 1999, p. 1, <a href="http://www.iss.net/prod/rsengine.php3">http://www.iss.net/prod/rsengine.php3</a> .
✓	AK	✓	Phillips, <i>NetProwler detects perimeter hack attacks</i> , July 5, 1999, pp. 1-3, Ziff-Davis Publishing Company, Reprinted from PC Week, <a href="http://www.zdnet.com/adverts/eprints/axent/pcwk/90803kp.html">http://www.zdnet.com/adverts/eprints/axent/pcwk/90803kp.html</a> .

EXAMINER	DATE CONSIDERED
<i>ca</i>	3/3/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
AN	AL	✓	AXENT, <i>Net Prowler Integration Module for the Raptor Firwall 6.x</i> , October 27, 1999, p. 1, <a href="http://www.raptor.com/cs/FAQ/netprowler.html">http://www.raptor.com/cs/FAQ/netprowler.html</a> .				
↓	AM		Network Security Wizards, <i>Dragon Products</i> , October 29, 1999, p. 1, <a href="http://www.securitywizards.com/product.html">http://www.securitywizards.com/product.html</a> .				
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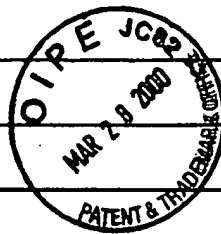
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ATTY. DOCKET NO. <b>19433-0100</b>	SERIAL NO. <b>09/469,586</b>	FILING DATE <b>December 22, 1999</b>
APPLICANT <b>Sterling Michael Pearson</b>		GROUP <b>Group 2700</b>

**RECEIVED****MAR 30 2000****Group 2700****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
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AL						
AM						
AN						
AO						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AP	✓	Jai Sundar Balasubramanian, Jose Ovar Garcia-Fernandez, David Isacoff, Eugene Spafford, and Diego Zamboni. An architecture for intrusion detection using Autonomous Agents. <b>COAST Technical Report 98/05</b> , COAST Laboratory, Department of Computer Sciences, Purdue University, West Lafayette, IN 47907 -1398, June 1998.
AR	✓	"Network Security Wizards" (visited October 27, 1999) <a href="http://www.securitywizards.com">http://www.securitywizards.com</a> .
AS	✓	"CyberCop Monitor" (visited October, 29, 1999) <a href="http://nai.com/asp_set/products/tns/ccmonitor_features.asp">http://nai.com/asp_set/products/tns/ccmonitor_features.asp</a> .
AT	✓	"Axent: Security That Means Business" (visited October 27, 1999) <a href="http://www.axent.com">http://www.axent.com</a>

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>9/30/03</i>
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ATTY. DOCKET NO. 19433-0100	SERIAL NO. 09/469,586	FILING DATE December 22, 1999
APPLICANT Sterling Michael Pearson		GROUP

## U.S. PATENT DOCUMENTS

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MAR 30 2000

Group 2700

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	AM						
	AN						
	AO						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

BP	✓	"NFR Intrusion Detection Appliance" (visited October 27, 1999) <a href="http://www.nfr.net/products/ida-facts.html">http://www.nfr.net/products/ida-facts.html</a> .
BR	✓	"ISS SAFESuite Products" (visited October 27, 1999) <a href="http://www.iss.net/prod/rs.php3">http://www.iss.net/prod/rs.php3</a> .
BS	✓	Greg Shipley, "Intrusion Detection" <i>Networking Computing</i> , November 15, 1999, pp. 48, 50, 52, 56, 58, 60, 66, 68, 74-75.

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